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# Effects of $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds and sintering additives on properties of porous silicon nitride ceramics fabricated by carbothermal reduction

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In this paper, porous  $Si_3N_4$  ceramics were fabricated by carbothermal reduction between silicon dioxide and carbon [1]. The influences of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds and sintering additives on the microstructure and mechanical properties of porous  $Si_3N_4$  ceremics were investigated. XRD analysis proved the complete formation of a single-phase  $\beta$ -Si<sub>3</sub>N<sub>4</sub>. SEM analysis showed that the resultant porous  $Si_3N_4$  ceramics had a fine microstructure and a uniform pore structure. The sintered sample with Lu<sub>2</sub>O<sub>3</sub> as sintering additive showed finer, higher aspect ratio  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. The addition of Eu<sub>2</sub>O<sub>3</sub> accelerated the densification of porous  $Si_3N_4$  ceramics, decreased the porosity and increased the flexural strength. The addition of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds accelerated the formation of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase at a low temperature and the  $\alpha$ - $\beta$  phase transformation process at a high temperature. With an increase in the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds content, the porosity decreased, and the flexural strength increased accordingly.

Key words: Porous silicon nitride ceramics, Carbothermal reduction, Sintering additives,  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds.

### Introduction

Porous  $Si_3N_4$  ceramics with the microstructure of rod-like  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains have better mechanical properties, such as high strength, good thermal shock resistance, high strain and damage tolerance, making them promising candidates for many applications [2].

In this paper, we report on the fabrication of porous Si<sub>3</sub>N<sub>4</sub> ceramics by the carbothermal reduction of SiO<sub>2</sub> under a nitrogen atmosphere( $3SiO_2 + 6C + 2N_2 \rightarrow Si_3N_4 + 6CO$ ). We have used silicon dioxide, carbon, a small amount of sintering additives and  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds as the starting powder [3]. The sintering additives were  $Y_2O_3$ ,  $Lu_2O_3$  and  $Eu_2O_3$ . The effects of different sintering additives and content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds on the microstructure and mechanical properties of porous Si<sub>3</sub>N<sub>4</sub> ceramics were investigated. The addition of the sintering additives, which are usually metal oxides that formed a low-melting-point eutectic liquid with the oxide surface layer of the silicon nitride powder, promoted the sintering and densification of silicon nitride ceramics by the liquid sintering mechanism [4]. The addition of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds increased the number of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nucleation locations and the rate of nucleation. More  $\alpha$ - $Si_3N_4$  phase was formed consequently at a low temperature. The formation of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> at a high temperature was close to the solution-reprecipitation of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> in the liquid phase. The addition of a large number of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds promoted the nucleation and growth of  $\beta$ -Si<sub>3</sub>N<sub>4</sub>.

## **Experimental Procedure**

Quartz SiO<sub>2</sub> (1.8  $\mu$ m) was used as the starting powder,  $Y_2O_3$ ,  $Lu_2O_3$  and  $Eu_2O_3$  were used as sintering additives. Carbon black powder (80 nm) and  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> powder (0.5  $\mu$ m) were used as the carbon source and seeds respectively. The compositions of the starting powder mixture contain a stoichiometric ratio of C and  $SiO_2$  (2 : 1 molar ratio), corresponding to 5 wt% sintering additive and different contents of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds. The powder mixture was ballmilled with high-purity silicon nitride balls in anhydrous alcohol for 24 h in a plastic bottle. After milling, the slurry was dried by a rotary evaporator and sieved through a 150 µm screen. The mixed powders were then uniaxially pressed to form rectangular bars measuring  $46 \text{ mm} \times 5 \text{ mm}$  $\times$  5 mm. The green bodies were sintered in a furnace (High multi-5000 Fijidempa Co. ltd., Osaka, Japan) at 1750<sub>o</sub> for 2 h.

The bulk density of the sintered products was measured by the Archimedes displacement method. Crystalline phases were identified by XRD (D/MAX-2400X, Rigaku Co., Tykyo, Japan) analysis. The microstructure was characterized by SEM (JSM-35C, JEOL, Japan). The three-point bending strength was measured on specimen bars with a span of 16 mm at a cross-head speed of 0.5 mm/minute by an instrument (Instron 1195, Instron Co., England). Each final value was averaged over five measurements. The sample designations and their compositions in this paper are shown in Table 1.

### **Results and Discussions**

Variations in the weight loss, shrinkage, porosity, flexural

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Samples	Composition	
Lu 5%	64.3 wt% SiO <sub>2</sub> + 25.7 wt% C + 5 wt% Lu <sub>2</sub> O <sub>3</sub> + 5 wt% $\alpha$ -Si <sub>3</sub> N <sub>4</sub> seeds	
Eu 5%	64.3 wt% SiO <sub>2</sub> + 25.7 wt% C + 5 wt% Eu <sub>2</sub> O <sub>3</sub> + 5wt% $\alpha$ -Si <sub>3</sub> N <sub>4</sub> seeds	
Y 5%	64.3 wt% SiO <sub>2</sub> + 25.7 wt% C + 5 wt% $Y_2O_3$ + 5 wt% $\alpha$ -Si <sub>3</sub> N <sub>4</sub> seeds	
Y20%	53.6 wt% SiO <sub>2</sub> + 21.4 wt% C + 5 wt% $Y_2O_3$ + 20 wt% $\alpha$ -Si <sub>3</sub> N <sub>4</sub> seeds	
Y50%	32.1 wt% SiO <sub>2</sub> + 12.9 wt% C + 5 wt% $Y_2O_3$ + 50 wt% $\alpha$ -Si <sub>3</sub> N <sub>4</sub> seeds	
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**Table 1.** Composition of the samples

Table 2. Summary of sintering behavior and mechanical properties of porous Si<sub>3</sub>N<sub>4</sub> ceramics

Samples	Weight loss [%]	Linear shrinkage [%]	Porosity [%]	Flexural strength [MPa]
Lu 5%	45	3.40	78	2.3
Eu 5%	45	17.5	60	34
Y 5%	48	15.2	79	3.5
Y20%	44	11.8	73	12.5
Y50%	29	6.1	68	37.7

strength with different sintering additives and content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds are shown in Table 2. The porosity of the porous Si<sub>3</sub>N<sub>4</sub> ceramics after the carbothermal reduction was mainly affected by the linear shrinkage and the weight loss during the reaction. The linear shrinkage and weight loss were two main factors determining the porosity of the sintered samples. As shown in Table 2, there was no obvious difference in the weight loss for the samples with different sintering additives and the theoretical weight loss after the complete carbothermal reduction was about 44%. The weight loss in the sintered samples was mainly the result of the loss of carbon black in the carbothermal reduction. The linear shrinkage resulted from a very large amount of weight loss and the sintering driving force at a high temperature. The samples Y 5% and Lu 5% showed lower linear shrinkage which caused higher porosities. So the samples Y 5% and Lu 5% exhibited a poor sinterability by comparison with the sample Eu 5% which exhibited an excellent sinterability. This was because the sample Eu 5% is superior to samples Y 5% and Lu 5% in promoting densification of silicon nitride by lowering the eutectic temperature and viscosity of the liquid phase. Because there was a large difference in the linear shrinkage for the different samples, there was also a large difference in the porosity for the samples with different sintering additives.

For the samples with different contents of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, there was an obvious difference in the weight loss and the linear shrinkage. With an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the weight loss, the linear shrinkage and the porosity decreased, Because the theoretical weight loss after the complete carbothermal reduction was about 44%, which was mainly the result of the loss in the carbothermal reduction, so with an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the content of carbon black decreased accordingly. So the weight loss after the complete carbothermal reduction decreased. Although the linear shrinkage of the samples decreased accordingly, the influence of the weight loss on the porosity of samples was much more extensive than the influence of the linear shrinkage on it. So with an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the porosity of samples decreased.

The XRD analyses for the samples with different contents of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds and sintering additives is shown in Fig. 1. Only  $\beta$ -Si<sub>3</sub>N<sub>4</sub> phase was detected by XRD analysis. No  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase was detected in the diffraction patterns, confirming a full transformation from  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> to  $\beta$ -Si<sub>3</sub>N<sub>4</sub> during the high-temperature sintering. No grain boundary phase was detected. The explanation is that any grain boundary phase present was in such small quantities that it could not be detected by XRD analysis.

The silicon nitride fabricated by carbothermal reduction transformed from  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> to  $\beta$ -Si<sub>3</sub>N<sub>4</sub> by the solution-precipitation process. No second phase particles were identified in the microstructure, indicating that all the sintering additives were dissolved in the eutectic liquid



Fig. 1. XRD patterns of the porous silicon nitride ceramics obtained with different sintering additives and content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds (a) Eu 5% (b) Lu 5% (c) Y 5% (d) Y 20% (e) Y 50%.

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Fig. 2. SEM images of porous silicon nitride ceramics with different sintering additives and contents of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds (a) Lu 5% (b) Eu 5% (c) Y 5% (d) Y20% (e) Y50%.

during the sintering process. This was consistent with the former XRD results. Fig. 2 gives SEM images of fracture surfaces of the sintered samples with different sintering additives and content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds. It was obvious that these samples showed a typical microstructure composed of fine elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a high aspect ratio and a uniform pore structure. Furthermore, it was observed that there were two obvious differences in the microstrucure for the samples with different sintering additives; 1) a difference in the aspect ratio of the fine elongated β-Si<sub>3</sub>N<sub>4</sub> grains with different sintering additives; 2) a difference in the pores size with different sintering additives. Compared with sample Eu 5%, samples Y 5% and Lu 5% showed a higher aspect ratio of the  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. The explanation was that the sintering additive controlled the aspect ratio of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains and pore sizes by its effects on the viscosity of the liquid phase. The sintering additives which were used in this article were all rare-earth oxides, rare-earth oxides elements with larger ionic radii produce a higher viscosity of liquid phase. So element Lu with the largest ionic radii showed the highest aspect ratio of the  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains due to the highest viscosity of the liquid phase. By contrast, element Eu with the smallest ionic radii showed the lowest aspect ratio of the  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains due to the lowest viscosity of the liquid phase.

Fig. 2 also gives SEM images of fracture surfaces of the sintered samples with different contents of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds. The addition of 5 wt%  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds increased the number of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nucleation sites. The nucleation and growth of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> occurred on the planes of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> grains directly. The rate of nucleation was increased. Much of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase was formed consequently at a low temperature. The formation of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> at a high temperature was close to the solution-reprecipitation of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> in the liquid phase. The solution of a large number of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> particles promoted the supersaturation of the liquid phase, as well as the nucleation and growth of  $\beta$ -Si<sub>3</sub>N<sub>4</sub>. So sample Y 5%

showed fine elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a higher aspect ratio and a uniform pore structure. Sample Y 20% showed a bimodal microstructure composed of large elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains and finer  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. But some of the  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a large particle size were coarse. Although, the average particle size of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> was small, it was not observed that there were fine elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a higher aspect ratio and a uniform pore structure in the microstructure. This was because the addition of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds was extensive and it decreased the contact area of the reactants (C and SiO<sub>2</sub>) which is important in determing the carbothermal reduction. Even if the addition of 20 wt%  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds increased the number of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> nucleation sites, the rate of nucleation was very low, and consequently only a small quantity of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase was formed at the low temperature. So sample Y 20% exhibited coarse  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a lower aspect ratio. Although the addition of 50 wt%  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds was very disadvantageous to the carbothermal reduction, 50 wt%  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> accelerated the formation of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase at a low temperature and the  $\alpha$ - $\beta$  phase transformation process at a high temperature. So sample Y 50% showed fine elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a higher aspect ratio and a uniform pore structure.

The flexural strength of porous  $Si_3N_4$  ceramics depended on their microstructure and porosity. The porous  $Si_3N_4$ ceramics showed a fine microstructure, a high aspect ratio of  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains which contributed to a relatively higher flexural strength. If the flexural strength of porous ceramics is expressed as a function of porosity, the flexural strength decreased exponentially with the porosity. As shown in Table 2, with the lowest porosity, the sample Eu 5% showed the highest flexural strength. Compared with the sample Eu 5%, the sample Lu 5% showed a better microstructure with a higher aspect ratio  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. But the porosity of the sample Eu 5% was much less than the porosity of the sample Lu 5%. So the sample Eu 5% showed the highest flexural strength.

With an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the

porosity decreased accordingly. The flexural strength decreased exponentially with the porosity. So with an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the flexural strength increased accordingly. Sample Y 5% and sample Y 50% which showed fine elongated  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains with a higher aspect ratio and a uniform pore structure have relatively higher flexural strengths. But the porosity in the sample Y 20% was much less than the porosity in the sample Y 5%. So the sample Y 20% showed the higher flexural strength.

# **Summary**

The sample with Lu<sub>2</sub>O<sub>3</sub> as a sintering additive showed higher aspect ratio  $\beta$ -Si<sub>3</sub>N<sub>4</sub> grains. The sample with Eu<sub>2</sub>O<sub>3</sub> as a sintering additive exhibited the highest flexural strength due to having the lowest porosity. The addition of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds accelerated the formation of the  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> phase at a low temperature and the  $\alpha$ - $\beta$  phase transformation process at a high temperature. With an increase in the content of  $\alpha$ -Si<sub>3</sub>N<sub>4</sub> seeds, the porosity decreased and the flexural strength increased accordingly.

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